

# **COMPASS Regulatory Compliance and Monitoring Alerts**

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# Agenda

## ► COMPASS Overview

- COMPASS Objectives
- COMPASS Roadmap
- COMPASS Pilot
- COMPASS Release 1

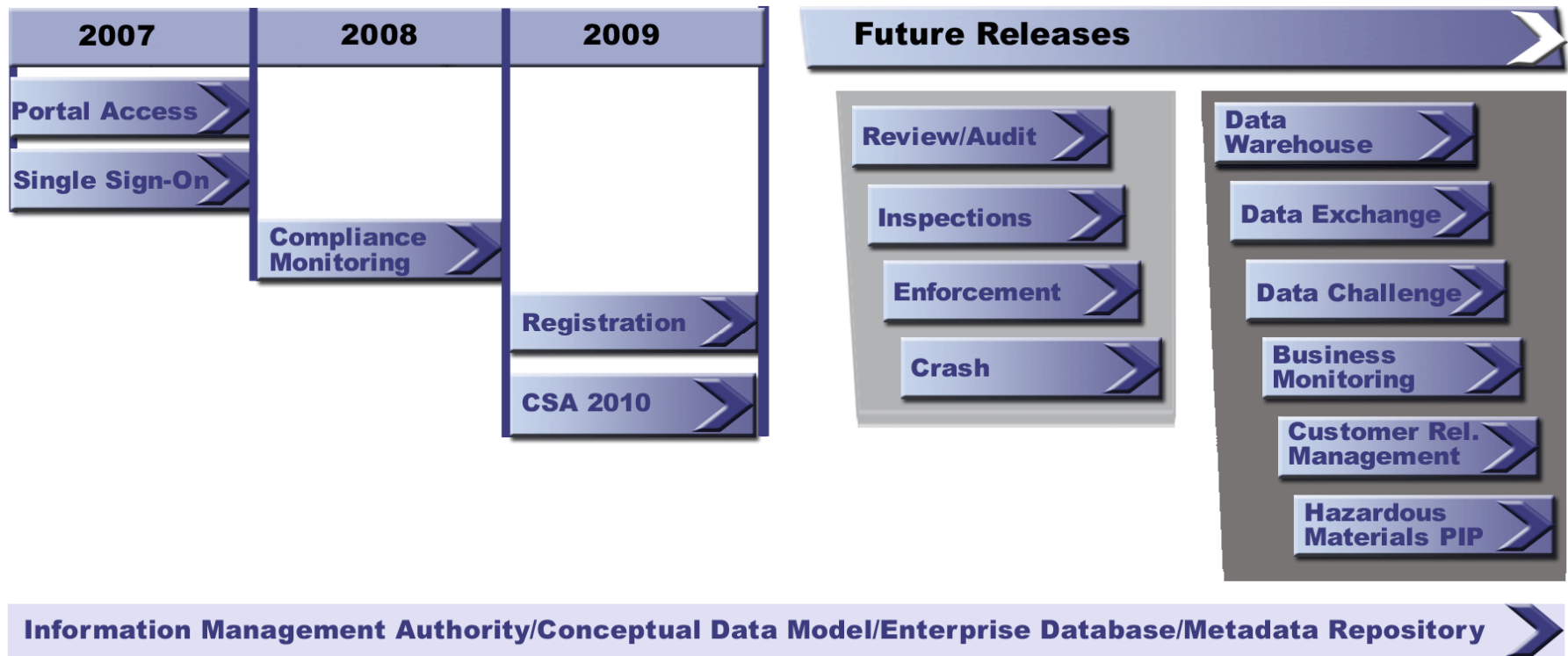
## ► COMPASS Regulatory Compliance and Monitoring Alerts

- Scope
- Who needs to be alerted to status changes
- What status changes need to be communicated
- How to communicate status changes
- Implementation strategies
- Training requirements

# COMPASS Objectives

- ▶ **Improve data accessibility** through simple sign-on and easier navigation
- ▶ **Improve data consistency** through database consolidation and integration
- ▶ **Simplify data capturing** and information reporting
- ▶ **Increase productivity and efficiency** by better integrating our information technology with our business processes
- ▶ **Enable better policy and program decisions** through improved data quality
- ▶ **Reduce costs** for operations and maintenance through consolidation and implementing industry best practices

# COMPASS Roadmap



## ► Federal Program Managers

- Select Carrier for Compliance Review and assign Safety Investigators to perform the review
- Access MCMIS legacy system without additional login to perform other job functions

## ► Registered Carriers

- View company information specific to the Carrier including crashes, inspections, reviews and closed enforcement cases
- Access DataQs legacy system without additional login to challenge information

# Release 1 Scope

- ▶ Select Carrier for Review or Safety Audit and assign Safety Investigators to perform the review or audit
- ▶ View Assignments
- ▶ View Company information
- ▶ Print or download Company Safety Profiles on an as needed basis at no charge
- ▶ Automated accounts management and role assignment
- ▶ Automated password reset and account unlock
- ▶ Single Sign-on to MCMIS, EMIS and L&I
- ▶ Context sensitive online help
- ▶ Online access to training materials
- ▶ Online survey and user feedback tools

# Release 1 Functionality – Accounts Management

## ► Accounts Management

- Automates the registration process
- Automates password reset and account unlock requests
- Registers users for both COMPASS and legacy systems
- Everyone is to reregister through COMPASS
- Organization Coordinator is the internal Approver
- Authorized User is the registered public Approver

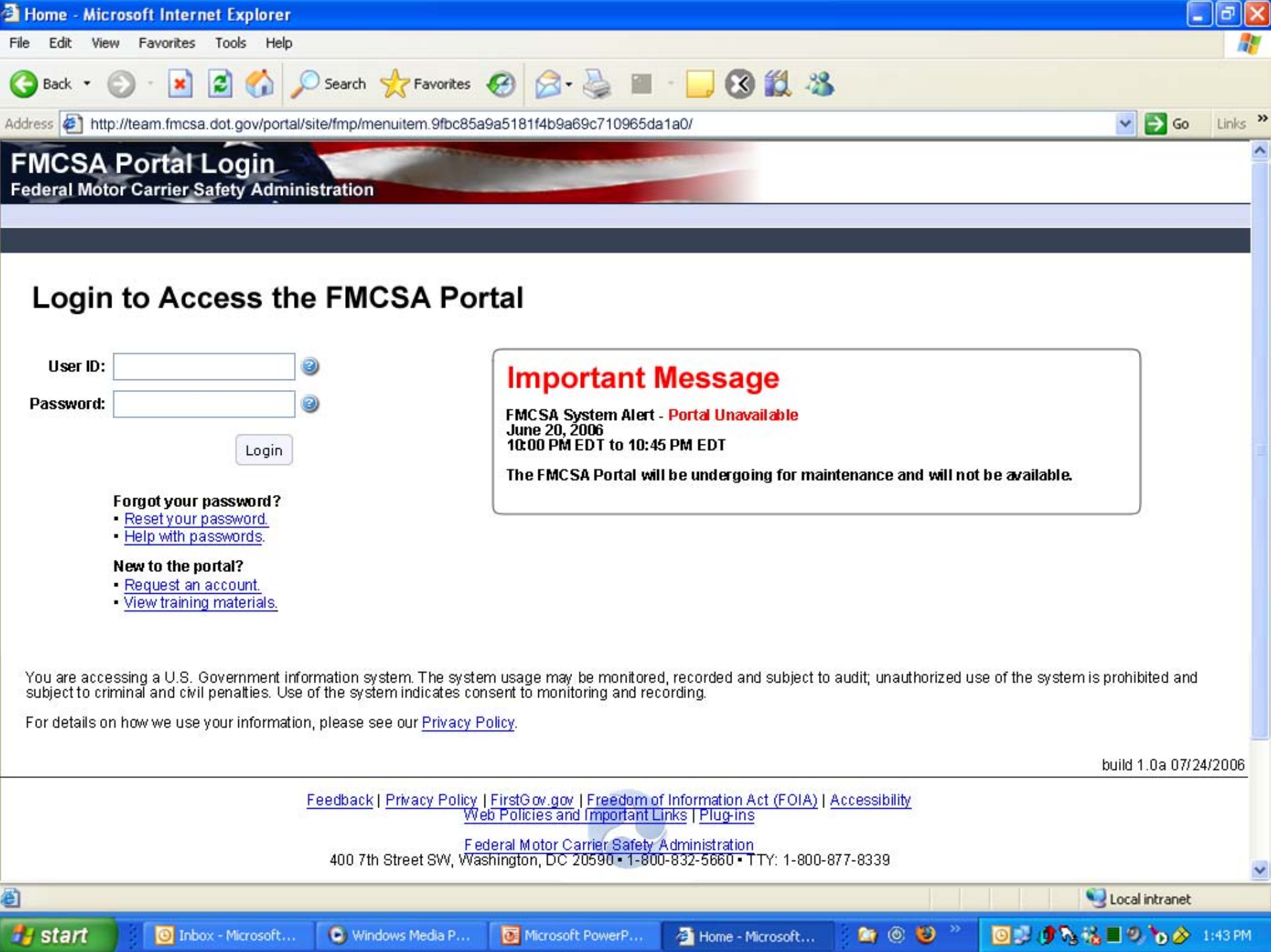
## ► Roles

- By functionality not title
- Organization Coordinators / Authorized Users are able to assign and remove roles via the portal

# COMPASS Regulatory Compliance and Monitoring Alerts

- ▶ Activity that can cause status changes
  - Inspections
  - Crashes
  - Compliance Reviews
  - Safety Audits
  - Insurance filings
- ▶ Daily monitoring of status changes
  - New Entrant processing
  - Mexican Commercial Zone processing
  - Mexican deadly sin monitoring
  - HM Safety Permit monitoring
  - Compliance review rating processing
  - Insurance cancellation monitoring
  - Processing Out of Service Orders and Orders to Cease Operation
- ▶ Generate alerts
- ▶ Generate correspondence to company





# FMCSA Portal Login

Federal Motor Carrier Safety Administration

## Login to Access the FMCSA Portal

User ID:  ?

Password:  ?

Login

### Important Message

**FMCSA System Alert - Portal Unavailable**  
June 20, 2006  
10:00 PM EDT to 10:45 PM EDT

The FMCSA Portal will be undergoing for maintenance and will not be available.

#### Forgot your password?

- [Reset your password](#)
- [Help with passwords](#)

#### New to the portal?

- [Request an account](#)
- [View training materials](#)

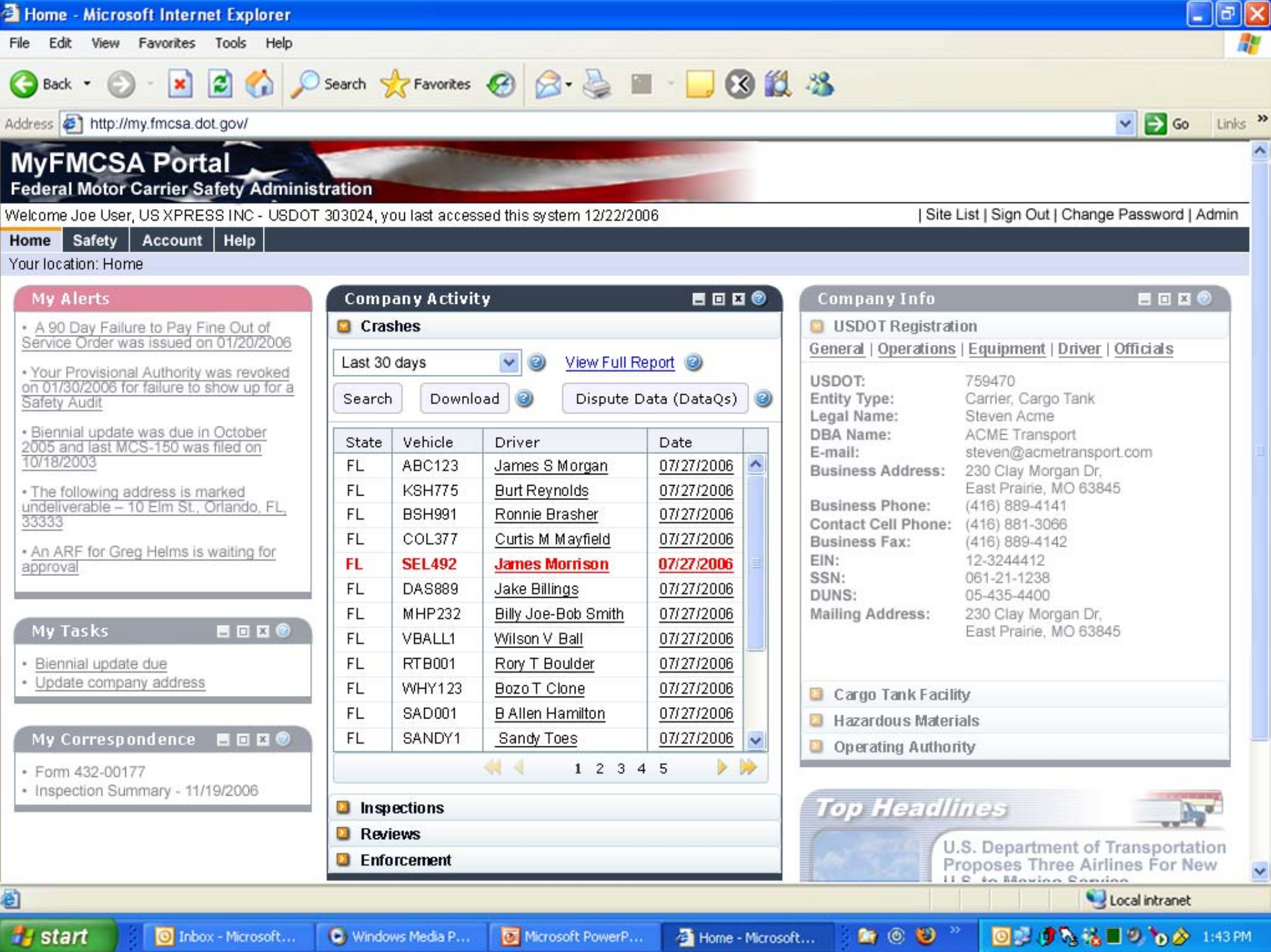
You are accessing a U.S. Government information system. The system usage may be monitored, recorded and subject to audit; unauthorized use of the system is prohibited and subject to criminal and civil penalties. Use of the system indicates consent to monitoring and recording.

For details on how we use your information, please see our [Privacy Policy](#).

build 1.0a 07/24/2006

[Feedback](#) | [Privacy Policy](#) | [FirstGov.gov](#) | [Freedom of Information Act \(FOIA\)](#) | [Accessibility](#)  
[Web Policies and Important Links](#) | [Plug-ins](#)

Federal Motor Carrier Safety Administration  
400 7th Street SW, Washington, DC 20590 • 1-800-832-5660 • TTY: 1-800-877-8339



## My Alerts

- A 90 Day Failure to Pay Fine Out of Service Order was issued on 01/20/2006
- Your Provisional Authority was revoked on 01/30/2006 for failure to show up for a Safety Audit
- Biennial update was due in October 2005 and last MCS-150 was filed on 10/18/2003
- The following address is marked undeliverable - 10 Elm St., Orlando, FL, 33333
- An ARF for Greg Helms is waiting for approval

## My Tasks

- Biennial update due
- Update company address

## My Correspondence

- Form 432-00177
- Inspection Summary - 11/19/2006

## Company Activity

### Crashes

Last 30 days

[View Full Report](#)

Search

Download

Dispute Data (DataQs)

State	Vehicle	Driver	Date
FL	ABC123	James S Morgan	07/27/2006
FL	KSH775	Burt Reynolds	07/27/2006
FL	BSH991	Ronnie Brasher	07/27/2006
FL	COL377	Curtis M Mayfield	07/27/2006
FL	SEL492	James Morrison	07/27/2006
FL	DAS889	Jake Billings	07/27/2006
FL	MHP232	Billy Joe-Bob Smith	07/27/2006
FL	VBALL1	Wilson V Ball	07/27/2006
FL	RTB001	Rory T Boulder	07/27/2006
FL	WHY123	Bozo T Clone	07/27/2006
FL	SAD001	B Allen Hamilton	07/27/2006
FL	SANDY1	Sandy Toes	07/27/2006

1 2 3 4 5

### Inspections

### Reviews

### Enforcement

## Company Info

### USDOT Registration

[General](#) | [Operations](#) | [Equipment](#) | [Driver](#) | [Officials](#)

USDOT: 759470  
Entity Type: Carrier, Cargo Tank  
Legal Name: Steven Acme  
DBA Name: ACME Transport  
E-mail: steven@acmetransport.com  
Business Address: 230 Clay Morgan Dr,  
East Prairie, MO 63845  
Business Phone: (416) 889-4141  
Contact Cell Phone: (416) 881-3066  
Business Fax: (416) 889-4142  
EIN: 12-3244412  
SSN: 061-21-1238  
DUNS: 05-435-4400  
Mailing Address: 230 Clay Morgan Dr,  
East Prairie, MO 63845

### Cargo Tank Facility

### Hazardous Materials

### Operating Authority

## Top Headlines

U.S. Department of Transportation  
Proposes Three Airlines For New  
U.S. to Mexico Service

Local intranet

# Discussion

- ▶ Who needs to be alerted to status changes
- ▶ What status changes need to be communicated
- ▶ How to communicate status changes
- ▶ Implementation strategies
- ▶ Training requirements

# For More Information

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To give feedback or ask questions  
e-mail [compass@dot.gov](mailto:compass@dot.gov)